

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Bethany Kniffin Hoogs et al. : Art Unit: 3689  
Serial No.: 10/676,928 : Examiner: Nguyen, Tan D  
Filed: September 30, 2003 :  
For: METHOD, SYSTEM AND  
COMPUTER PRODUCT FOR  
ANALYZING BUSINESS RISK  
USING EVENT INFORMATION  
EXTRACTED FROM NATURAL  
LANGUAGE SOURCES

**Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

## TRANSMITTAL

1. Transmitted herewith is:  
Transmittal (3 pages)  
Amendment in response to Office Action dated May 26, 2010 (20 pages)

## STATUS

2. **Applicant**  
 claims small entity status.  
 is other than a small entity.

## EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(complete (a) or (b), as applicable)

(a)  Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input type="checkbox"/> first month	\$ 130.00	\$ 65.00
<input checked="" type="checkbox"/> second month	\$ 490.00	\$ 245.00
<input type="checkbox"/> third month	\$ 1,110.00	\$ 555.00
<input type="checkbox"/> fourth month	\$ 1,730.00	\$ 865.00
<input type="checkbox"/> fifth month	\$ 2,350.00	\$1,175.00

Fee Due \$ 490.00

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$

OR

(b)  Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT	(Col. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA	SMALL ENTITY	OTHER THAN SMALL ENTITY
TOTAL INDEP.	MINUS		=	x \$26.00 = \$	x \$52.00 = \$
	MINUS		=	x \$110.00 = \$	x \$220.00 = \$
				+ \$195.00 = \$	+ \$390.00 = \$
				TOTAL ADDITIONAL FEE \$	TOTAL ADDITIONAL FEE \$

— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM

(a)  No additional fee for Claims is required

OR

(b)  Total additional fee for claims required \$

## FEE PAYMENT

5.        Attached is a check in the sum of \$\_\_\_\_\_

Charge Deposit Account No. 01-2384 the sum of \$490.00

A duplicate of this transmittal is attached.

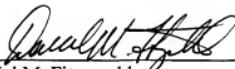
## FEE DEFICIENCY

6.  If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

## AND/OR

If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7.  Other:

  
 Daniel M. Fitzgerald  
 Reg. No. 38,880  
 ARMSTRONG TEASDALE LLP  
 7700 Forsyth Boulevard, Suite 1800  
 St. Louis, MO 63105  
 314/621-5070